



Adult-Use Type 3 Processors and Microbusinesses: GMP Audit Conditional Exemption Application

OCM-05008 (05/24)

Pursuant to §123.6(a)(1) all adult use cannabis products must be processed in accordance with GMP standards, as applicable for the type of cannabis or cannabis product being processed. All processors are required to submit proof of a qualified third-party Good Manufacturing Practice (GMP) audit to the Office within 1 year of commencing licensed operations, unless the licensee has prior written approval from the Office and the processing activity is limited to:

- Packaging, labeling, and branding of whole flower with no further grinding on site.
□ Packaging, labeling, and branding of non-infused pre-rolls provided that the flower is purchased pre-ground, and no grinding is conducted by the licensee.
□ Packaging, labeling, and branding of kief that may be pressed into pucks using a punch press providing no grinding of cannabis is conducted by the licensee.
□ Grinding of cannabis flower is not an activity that will be considered for GMP audit exemption due to employee protection concerns.

This form is designed for Adult-Use Cannabis Type 3 processors and microbusinesses seeking a conditional exemption from the GMP audit under the specified circumstances outlined above. Conditional exemptions are subject to prior written approval from the Office.

Licensees engaged in any other processing activities or handling other product types are required to undergo a complete GMP audit and certification, including the aforementioned procedures.

If you are seeking a waiver and the processing activity for which you are seeking a conditional exemption meets the criteria outlined above, please submit the completed application and any accompanying documents via non-secure e-mail attachment to compliance@ocm.ny.gov with the subject line: GMP Conditional Exemption Application

Licensee Information

License Number: _____ Licenseure Date: _____

Date Licensed Operations Commenced or Anticipated Date: _____

Legal Name: _____

Licensee Primary Contact: _____
First Middle Last

Processing Location:

Street Address Apt/Suite

City State Zip Code

E-MAIL: _____ PHONE: _____



Select the processing activities that apply to your operations:

- Packaging, labeling, and branding of whole flower with no further grinding on site.
Packaging, labeling, and branding of non-infused pre-rolls provided that the flower is purchased pre-ground, and no grinding is conducted by the licensee.
Packaging, labeling, and branding of kief that may be pressed into pucks using a punch press.

Do you perform any additional processing activities? YES* NO

*IF YES, PLEASE EXPLAIN:

Blank lines for explanation

Attestation

By submitting this application, I affirm I understand that section 123.6(a)(1) of the regulations requires all cannabis product processing to be in accordance with GMP standards. Any conditional exemption to a third party GMP audit provided by the Office does not absolve me or my license from complying with the requirement to process in accordance with GMP standards, Cannabis Law and Regulations, and any additional requirements imposed by the Office. I further understand that this exemption is conditional and may be revoked by the Office.

I am familiar with the facts/circumstances described above and have read the materials referenced herein. I acknowledge that the statements and observations recorded in this document are true and accurate to the best of my knowledge and statements made therein are punishable as a Class A misdemeanor pursuant to Section 210.45 and of the Penal Law of the State of New York.

SIGNATURE DATE

PRINT NAME

If you have any questions regarding your application, please contact the Office at 888-626-5151 or compliance@ocm.ny.gov